| Electronic Acknowledgement Receipt   |   |  |  |  |  |
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| EFS ID:                              | 6326955   |  |  |  |  |
| Application Number:                  | 09541197  |  |  |  |  |
| International Application Number:    |   |  |  |  |  |
| Confirmation Number:                 | 4910  |  |  |  |  |
| Title of invention:                  | System and method for providing secure retirement benefits via a conversion process |  |  |  |  |
| First Named Inventor/Applicant Name: | Jerome S. Golden  |  |  |  |  |
| Customer Number:                     | 70813   |  |  |  |  |
| Filer:                               | Jennifer A. Albert/denise anderson  |  |  |  |  |
| Filer Authorized By:                 | Jennifer A. Albert  |  |  |  |  |
| Attorney Docket Number:              | MML-003   |  |  |  |  |
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| Application Type:                    | Utility under 35 USC 111(a)   |  |  |  |  |
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## Payment information

| Submitted with Payment | no |
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| Document<br>Number                          | Document Description               | File Name                                    | File Size(Bytes)/<br>Message Digest | Multi<br>Part /.zip | Pages<br>(if appl.) |  |  |  |
| 1 Amendment/Argument after Notice of Appeal | Amendment/Argument after Notice of | LIAmendment.pdf                              | 27582                               | no                  | ,                   |  |  |  |
|   | 2. Michael Chapai                  | 02f6117eed521043cc45c522a82e06a2b9a<br>ebbf1 |                                     |                     |                     |  |  |  |
| Warnings:                                   |                                    |  |                                     |                     |                     |  |  |  |

Information:

| 2 Claims   | LI 102609 claims.pdf | 681805                                       | no | 13 |  |  |  |
|--|----------------------|--|----|----|--|--|--|
|  |                      | 0a9589a62d14c67/52158893ec0/9c88fd6a<br>ad75 |    |    |  |  |  |
| Warnings:  |                      |  |    |    |  |  |  |
| Information:                                       |                      |  |    |    |  |  |  |
| 3 Applicant Arguments/Remarks Made in an Amendment | L1102609Remarks.pdf  | 52160  | no | 2  |  |  |  |
|  |                      | b933c1b3c578713881f48c81800b93c21a<br>35e7   |    |    |  |  |  |
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## New Applications Under 35 U.S.C. 111

Information:

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

## National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

## New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.